

Attorney's Docket No. 097037014006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE,

In re application of: ANDERSSON
 Serial No.: 09/381,899
 Filed: 01 April 1999
 For: Method and Arrangement for Automatic Data Acquisition of Forms

COMPLETION OF FILING REQUIREMENTS

Commissioner of Patents and Trademarks
 Washington, D.C. 20231

ATTENTION: Application Division

(check and complete this item, if applicable)

- I. ☒ This replies to the "Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed December 2, 1999.
- ☒ A copy of the "Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) is enclosed.

DECLARATION OR OATH

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OR

- ☐ The declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service under 37 C.F.R. § 1.8 on the date indicated below and is addressed to the Assistant Commissioner of Patents, Attn: Box ~~Missing Parts~~ **PCT**, Washington, D.C. 20231

Date: December 10, 1999

FACSIMILE

☐ transmitted by facsimile to the
 the U.S. Patent and Trademark Office


 Signature

12/20/1999 PVOLPE 00000040 09381899

01 FC:254

65.00 OP

Merri C. Merrill
 (type or print name of person certifying)

(complete (c) or (d), if applicable)

Attached is a

- (c) ☒ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- (d) ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

AMENDMENT CANCELING CLAIMS

- III. ☐ Cancel claims _____ inclusive.

**TRANSMITTAL OF ENGLISH TRANSLATION
OF NON-ENGLISH LANGUAGE PAPERS**

- IV. ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

SMALL ENTITY STATUS

- V. ☒ A verified statement that this filing is by a small entity

(check and complete applicable items)

- ☒ is attached
 - ☐ A separate refund request accompanies this paper.
- ☐ was filed on _____ (original)

COMPLETION FEES

VI.

WARNING: Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 CFR 1.53(d).

1. Filing fee
 - ☐ original patent application (37 CFR 1.16(a))—\$730.00;
small entity—\$365.00 \$___
 - ☐ design application (37 CFR 1.16(f))—\$300.00;
small entity—\$150.00 \$___
 - \$___
2. Fees for claims
 - ☐ each independent claim in excess of 3 (37 CFR 1.16(b))—
\$76.00; small entity—\$38.00 \$___
 - ☐ each claim in excess of 20 (37 CFR 1.16(c))—\$22.00;
small entity—\$11.00 \$___
 - ☐ multiple independent claim(s) (37 CFR 1.16(d))—\$240.00;
small entity—\$120.00 \$___
 - \$___
3. Surcharge fees
 - ☐ late payment of filing fee and/or
 - ☒ late filing of original declaration or oath
37 CFR 1.16(e)—\$130.00; **small entity—\$65.00**; \$65.00
4. ☐ Petition and fee for filing by other than all
the inventors
or a person not the inventor
(37 CFR 1.17(h) and 1.47—\$130.00) \$___
5. ☐ Fee for processing an application filed with a
specification in a non-English language
(37 CFR 1.17(k) and 1.52(d)—\$130.00) \$___
6. ☐ Fee for processing and retention of application
(37 CFR 1.21(l) and 1.53(d)—\$300.00) \$___
7. ☐ Assignment (See "ASSIGNMENT COVER SHEET".)

Total completion fees \$65.00

EXTENSION OF TIME

VII.

(complete (a) or (b as applicable))

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d) for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$ 200.00
<input type="checkbox"/> three months	\$ 950.00	\$ 475.00
<input type="checkbox"/> four months	\$1,510.00	\$ 755.00

Fee \$

If an additional extension of time is required please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

or

- (b) ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VIII.

The total fee due is

Completion fee(s)	\$65.00
Extension fee (if any)	\$ 0

TOTAL FEE DUE \$65.00

PAYMENT OF FEES

IX.

- ☒ Enclosed is a check(s) in the amount of \$65.00
- ☐ Charge Account No. _____ in the amount of \$ _____
A duplicate of this request is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

X.

WARNING: *Accurately count claims, especially multiple dependant claims, to avoid unexpected high charges if extra claims are authorized.*

- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 10-1202_
- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
- ☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- ☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 CFR 1.17 (application processing fees)

WARNING: While 37 CFR 1.17(a), (b), (c) and (d) deal with extensions of time under § 1.136(a) this authorization should be made only with the knowledge that: "Submission of the appropriate extension fee under 37 CFR 1.1367(a) is to no avail unless a request or petition for extension is filed." (Emphasis added). Notice of November 5, 1985 (1060 O.G. 27).

- ☐ 37 CFR 1.18 (issue fee at or before mailing Notice of Allowance, pursuant to 37 CFR 1.311(b))

Respectfully submitted,

Date: December 10, 1999



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UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/381899	ANDERSSON	J 097037014006
JONES DAY REAVIS & POGUE 77 WEST WACKER DRIVE CHICAGO, IL 60601 1692		
INTERNATIONAL APPLICATION NO.		
PCT/SE98/00602		
I.A. FILING DATE	PRIORITY DATE	
01 APR 99	01 APR 97	
DATE MAILED: 02 DEC 1999		

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):
☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☐ a non-English language.
☒ English.
☐ Translation of the international application into English.
☐ Oath or Declaration of inventors(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.
☐ Preliminary amendment(s) filed _____ and _____.
☐ Information Disclosure Statement(s) filed _____ and _____.
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____.
☐ Verified Statement Claiming Small Entity Status.
☒ Priority Document.
☒ Copy of the International Search Report ☐ and copies of the references cited therein.
☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response

Enclosed:

- ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

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